

Border Interagency Executive Council

External Engagement Committee PGA Pilot Webinar

Date: Monday, March 09, 2015

Time: 2:00 p.m. – 4:00 p.m.

Link: <https://dhs.adobeconnect.com/biecpga/>

Phone: 877-873-8017, code 7414370



Time	Topic	Presenter
02:00 pm	Welcome and introductions:	Bruce Harsh, Department of Commerce Maria Luisa Boyce, CBP
02:05 pm	Overview of the PGA Single Window process:	Elizabeth McQueen, ACE Business Office CBP
02:10 pm	Animal and Plant Health Inspection Service (APHIS)	Michael Gregoire (presenter) and Cindy Walters, APHIS
02:20 pm	Environmental Protection Agency (EPA):	Roy Chaudet, EPA
02:30 pm	Food Safety and Inspection Service (FSIS):	Robert Berczik (presenter) and Mary Stanley, FSIS
02:40pm	Questions and answers:	Audience

Webinar Etiquette

- If using a phone to listen, please mute it
- Please do not put your phone on hold
- Questions will be answered at the end of the presentation
- Type your questions into the Q & A section
- If we are unable to get to all of the questions, answers will be provided at a later date

Thank You



Border Interagency Executive Council
External Engagement Committee PGA Pilot Webinar

Single Window and the Automated Commercial Environment (ACE)

Partner Government Agency (PGA) Onboarding Process Overview



Elizabeth McQueen
Branch Chief, International Trade Data System (ITDS)

March 16, 2014



**U.S. Customs and
Border Protection**

AUTOMATED COMMERCIAL ENVIRONMENT/INTERNATIONAL TRADE DATA SYSTEM

U.S. SINGLE WINDOW FOR TRADE



INTERNATIONAL TRADE

- In FY2013, U.S. trade accounted for \$2.4 trillion in imported goods and \$1.6 trillion in exported goods

ACE / ITDS

- Executive Order establishes deadline of December 2016 for implementation
- ACE is the technology enabler through which Single Window processing will be achieved
- Key features to enable Single Window processing have been implemented

PARTNER GOVERNMENT AGENCIES

- 47 Partner Government Agencies
- Largely paper-based with multiple entry systems
- Nearly 200 forms required for imports and exports



Quicker data availability for Government - better identification of dangerous or prohibited shipments



Automated Agency interactions reduce paper, enable near-real time decision making by Government



Easier for industry to comply with government regulations



Reduced costs for Government and industry

Implementing the Single Window

Three enablers provide the means for Partner Government Agencies (PGAs) to participate in ACE

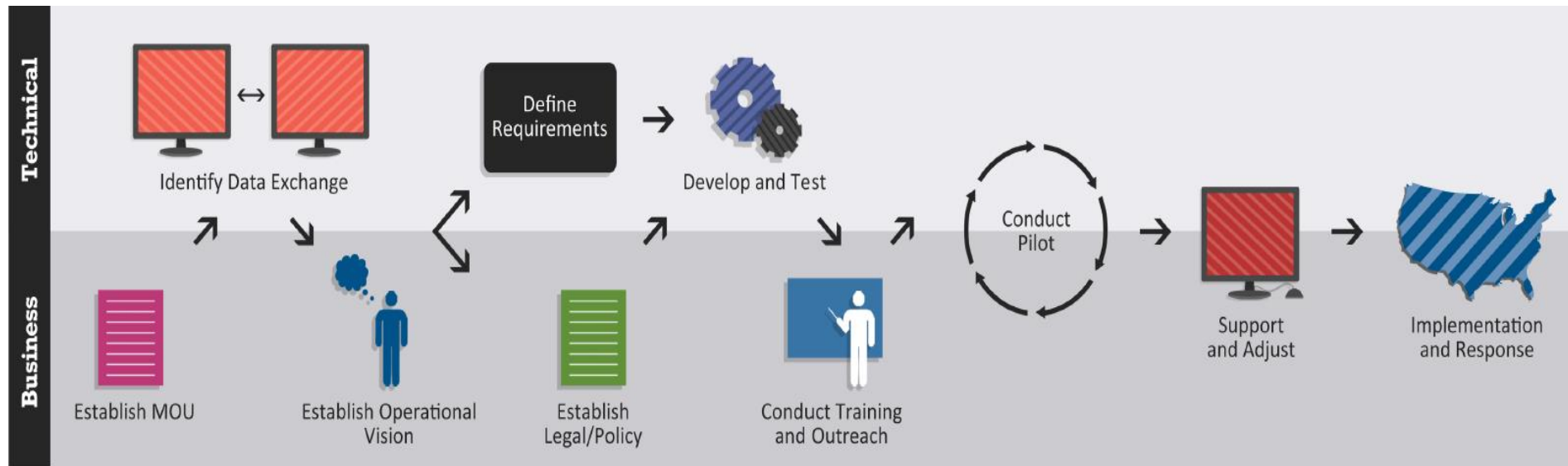
- **Partner Government Agency (PGA) Message Set** - Establishes a single, harmonized set of information to be collected electronically from international traders by CBP on behalf of PGAs
- **Interoperability Web Services** - Provides a system-to-system pipeline for data transfer between CBP and PGAs
- **Document Image System (DIS)** - Allows trade members to supply images of needed documents electronically during the cargo import and export processes

The ACE portal provides PGAs with a graphical user interface (GUI) to view the data in ACE, search on that data and run reports. The ACE portal is a consistent interface across PGAs that differs only by their particular information and processing needs.



PGA Onboarding Process

- MOUs between CBP and PGAs address data to be shared
- Technical work depends on type of data exchange
- “To-be” vision determines business rules for development
- Legal / Policy changes with long lead times must be considered
- Outreach to trade partners is crucial!
- Pilots help all parties verify business process flow



PGAs' Onboarding Plans

Each PGA's *Onboarding Plan* document will establish:

- The PGA's decisions regarding its intended use of ACE enablers:
 - Use of **PGA Message Set, Document Imaging System (DIS), or a combination** for data and document inputs.
 - Use of Interoperability Web Service (**IWS**) or **ACE portal** for data access.
 - Selection of **ACE behavior related to events**.
- Any need for a PGA to revise existing, or enact new *policies or regulations*.
- The PGA's plans to **coordinate and communicate with trade customers and vendors**, including providing training.
- Communication channels and Points of Contact (POCs) for the PGA to communicate with CBP's technical and business teams.
- PGA's plans to engage in **pilot** – or a small scale operation of the PGA's ITDS solution – to test and fully understand systematic / operational impacts



U.S. Customs and
Border Protection





United States Department of Agriculture

Animal and Plant Health Inspection Service (APHIS)

Automated Commercial Environment (ACE)
International Trade System (ITDS)

Mission

To protect the health and value of American agriculture and natural resources.

- To promote the health of U.S. agriculture in the international trade arena, APHIS develops and advances science-based standards with trading partners to ensure America's agricultural exports, worth more than \$150 billion annually, are protected from unjustified restrictions.
- Along with our partners at the Department of Homeland Security (DHS) Customs and Border Protection (CBP) Agriculture Programs and Trade Liaison Staff (APTL), we ensure that commodities traded internationally are free of animal and plant pests and diseases through the inspection and certification of agricultural commodities being shipped into and out of the country.

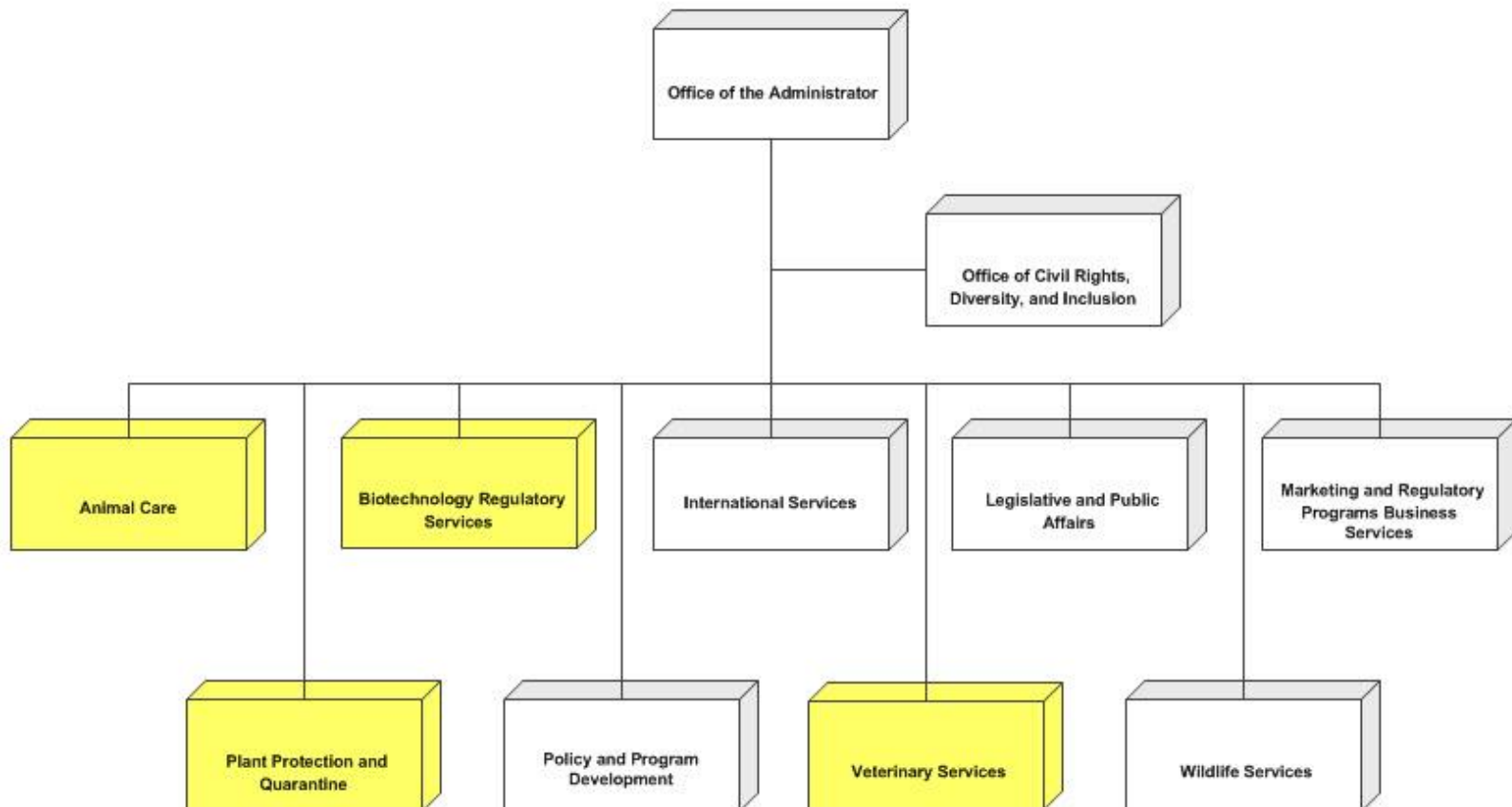
Safeguarding American Agriculture

Agriculture Quarantine Inspection Functions

- APHIS handles policy and
 - Veterinary Services Veterinary Medical Officers perform inspections of live animals at ports of entry
 - Plant Health Safeguarding Specialists perform inspections and conduct treatments for propagative material at ports of entry
- CBP conducts all other inspections and makes admissibility determinations at ports of entry

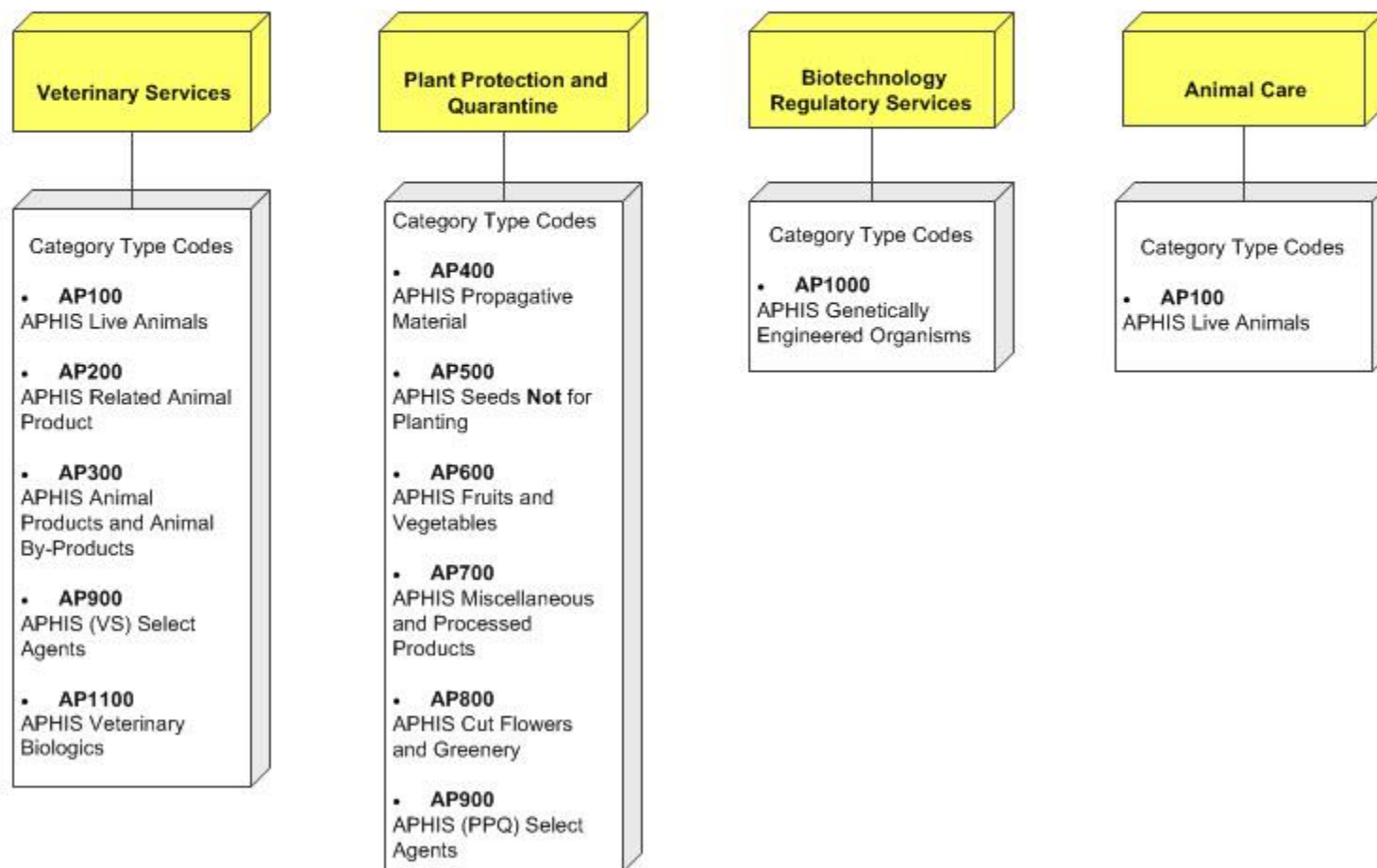
U.S. DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

The mission of the Animal and Plant Health Inspection Service is to protect the health and value of American agriculture and natural resources.



U.S. DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

The mission of the Animal and Plant Health Inspection Service is to protect the health and value of American agriculture and natural resources.



Goals

- Maximize electronic collection of 1295 data elements found on 46 Licenses, Permits, Certificates, and other documents (LPCO's)
- Utilize data to further identify commodities, certify health, provide import conditions, and instructions for inspection
- Reduce overall paperwork
- Ultimately connect APHIS systems with ACE
- More efficient decision-making by Federal Agencies
- Reduction in time required for clearance of legitimate goods
- Reduction in time and costs to both trade and Federal Agencies

Partner Government Agency (PGA) Activities

- Commercial Targeting and Analysis Center (CTAC) provides a real-time collaborative environment for sharing of information across Federal participants
- Border Interagency Executive Council (BIEC) Process Coordination Committee works to enhance collaboration, standardization, transparency, and accountability
- BIEC Risk Management Committee works to identify areas for adaptability, practicality and customization of processes while enhancing data quality
- Customs Operations Advisory Council (COAC) Messaging Committee works to streamline and enhance messaging between trade and Federal Agencies
- BIEC and COAC External Engagement Committees conducts outreach to all stakeholders impacted by ACE/ITDS

PGA Message Set Guidance

Draft document submitted and posted to CBP.GOV

- Additions and edits will be ongoing based on OMB guidance
- PGA Message Set, Appendices, Business Rules 70% complete
- Discussion with ACE Business Office and APTL anticipated within 30 days
- Discussion with trade representatives will then be initiated

Pilot Planning

- Lacey Act Program pilot testing PGA message sets anticipated during spring 2015
- Other APHIS program pilots anticipated during summer 2015 to test Document Imaging System and Interoperability Web Services



EPA ACE Pilots: Overview and Actions

Border Interagency Executive Council

Trade Webinar

Washington, DC

March 16, 2015



Topics

- Pilot Overview
- Getting Ready for a Pilot
- Testing Tips
- Pilot Timing
- Questions



Pilot Overview

- Current pilots

- **Vehicle and Engine Declarations Imports**

- Starting in ports of **Long Beach, Newark**



- **Hazardous Waste Exports** (*Spent Lead Acid Batteries*)

- Starting in ports of **Hidalgo, Laredo**

- **Pilot for Notice of Arrival for Pesticide Imports** (*No Earlier than April 15, 2015*)

- Test in CERT now!
 - Starting in ports of **Detroit, Port Huron, Newark**



- Planned for 2015

- **Ozone Depleting Substances (ODS) Imports is being wrapped into the Toxic Substance Control Act (TSCA) program**

- Expected capability in Summer





Getting Started

- ***Be an ACE Filer*** - Talk to your CBP Client Rep.
- ***Let EPA, CBP know which commodity, port, mode*** so we can coordinate
- ***File these commodities at pilot ports***
 - *HTS Codes (Chapters 29, 38, 84, 85, 86, 87, 89 initially) to be provided*
- ***Construct message sets to file***

Updated CATAIR documentation, additional information:
<http://www.cbp.gov/trade/ace/catair#field-content-tab-group-tab-4>
- ***What you need to file (boiled down):***
 - Vehicle/Engine Declaration: Data from forms 3520-1 and 21; certification number
 - Pesticides Notice of Arrival: Data from form 3540-1; Pesticide label; registration number, producer establishment number
 - Hazardous Waste Exports: Consent number
 - ODS/Toxic Substances (TSCA) TSCA certification statements



Testing Tips

1. **Availability of key data** for filing (electronic is best)
2. **Review CATAIR/ EPA Supplemental** ask questions, meet with EPA if needed
3. **Test samples/cases** including disclaimer
4. **Confirm active filing in CERT with Client Rep** at which ports
5. **Heads up** to CBP and EPA when starting testing
6. **Use unique entry numbers** so we can provide feedback.
7. **Feedback on messaging/timing.**
8. **Confirm active filing in PROD, Go Live!**

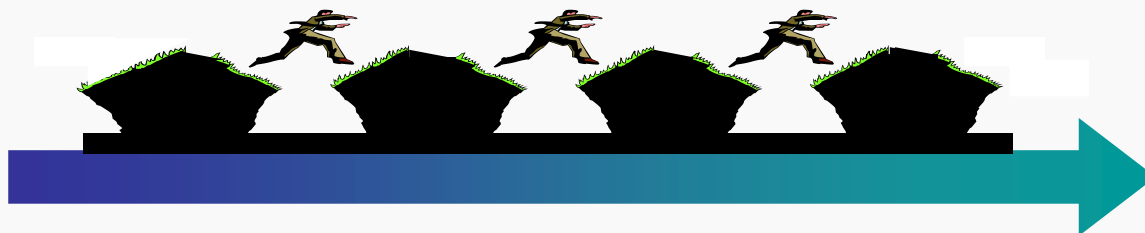


Pilot Timing

Program	Guidance Available	CERT Testing Available	Production Filing Available
<i>Imports</i>			
Vehicles & Engines	Now	Now	Now
Pesticides	Now	Now	No earlier than April 15, 2015
ODS/TSCA	Now	Summer	Fall
<i>Exports</i>			
Hazardous Waste (spent lead acid batteries)	Now	Now	Now



For More Information



Roy Chaudet

Office of Information Collection

Office of Environmental Information

U.S. Environmental Protection Agency

202-566-1703

chaudet.roy@epa.gov



United States Department of Agriculture

One Team, One Purpose



Food Safety and Inspection Service

Protecting Public Health and Preventing Foodborne Illness



Border Interagency Executive Council Industry Webinar

PGA Message Set Pilot

March 16, 2015

FSIS PGA Message Set Pilot

- Electronic submission of Import Inspection Application for meat, poultry and egg products (FSIS form 9540-1)
- Started April 27, 2014
 - Two brokers
 - Three Ports of Entry (Champlain NY, Houston TX and Philadelphia PA)
- Expanded to include additional POEs in 2014
 - Sweetgrass MT, NY/Newark NJ, Wilmington DE, and Savannah GA.
- Expansion March/April 2015
 - Working with additional software provider
 - 3-5 additional brokers
 - Additional POEs on West Coast
- To date, 400+ entries filed in production environment

Getting Started

- Start efforts with an ABI Software Vendor now
 - ABI software vendors must be certified to file ACE entry summary
 - Ensure software vendors/developers have a clear understanding of business process
 - Comprehensive understanding of the importer/broker requirements is critical for successful implementation
- Ensure engagement with CBP Client Representatives
- Engage with customers further along the supply chain to maintain continuity of operations
 - Coordinate data needs in a paperless environment

Getting Started with PGA

- Contact FSIS directly at onset
 - Can assist with coordination of efforts
- FSIS will provide:
 - Guidance on regulatory requirements
 - Guidance on business process flows
 - Guidance on FSIS technical specifications
 - Monitor cert (test environment) data mapping
 - Monitor initial files in production (live environment)

FSIS Capabilities

- **When are Compliance Guidelines available to industry and software vendors?**
 - FSIS has revised Compliance Guidelines for filing FSIS form 9540-1 electronically posted on FSIS website:
http://www.fsis.usda.gov/wps/wcm/connect/98c45f3c-8a5b-47d7-9907-2fdc7352547f/Data_Samples_Guidelines_PGA_Message_Set.pdf?MOD=AJPERES
- **When will testing be available in CERT (test environment)?**
 - FSIS has CERT (test environments) established now!
- **When will it be available in production (live environment)?**
 - FSIS will coordinate with importers/brokers that have adequately developed and tested software first and move them into production (live environment)

FSIS Future Capabilities

- Hold and Release Messaging
 - Coordinating with government and industry stakeholders
 - Messaging for “Hold Intact”, “May Proceed” or “Rejected”
 - Messaging for shipments that fail to present (FTP) to FSIS for inspection

FSIS Contacts

Bob Berczik

202-690-4163

Robert.Berczik@fsis.usda.gov

Mary Stanley

202-720-0287

Mary.Stanley@fsis.usda.gov



Questions?



Border Interagency Executive Council
External Engagement Committee PGA Pilot Webinar